

#5

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
04995/046001

In Re Application Of: **Kazuhiko YAMAMOTO**

Serial No.

Filing Date

Examiner

Group Art

Title: **TELEVISION TUNER AND TELEVISION RECEIVER**

Address to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).



**22511**

PATENT TRADEMARK OFFICE

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
04995/046001

In Re Application Of: **Kazuhiko YAMAMOTO**

Serial No.

Filing Date

Examiner

Group A

Title: **TELEVISION TUNER AND TELEVISION RECEIVER**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **50-0591** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

\_\_\_\_\_) on \_\_\_\_\_  
(Date)

*Signature*

*Typed or Printed Name of Person Signing Certificate*

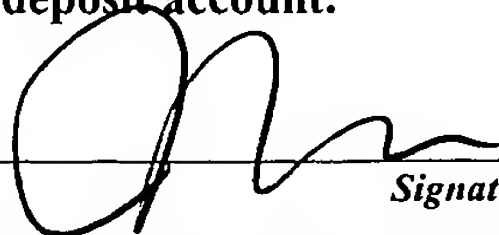
**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on \_\_\_\_\_ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence*

*Typed or Printed Name of Person Mailing Correspondence*

**\*This certificate may only be used if paying by deposit account.**

  
*Signature*

**Jonathan P. Osha Reg. No. 33,986**  
**Rosenthal and Osha, L L P**  
**1221 McKinney Street, Suite 2800**  
**Houston, Texas 77010**

**Telephone: (713) 228-8600**  
**Facsimile: (713) 228-8778**

Dated:

**1/3/02**

CC:

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				Docket Number (Optional) <b>04995/046001</b>		Application Number		
				Applicant(s) <b>Kazuhiko YAMAMOTO</b>				
				Filing Date		Group Art Unit		
<b>U.S. PATENT DOCUMENTS</b>								
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILED DATE IF APPROPRIATE	
<b>FOREIGN PATENT DOCUMENTS</b>								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation YES NO	
	A1	61-206325	9/12/1986	Japan				✓
	A2	2000-13700	1/14/2000	Japan				✓
	A3	10-233972	9/2/1998	Japan				✓
	A4	2-28154	2/23/1990	Japan				✓
<b>OTHER DOCUMENTS    (Including Author, Title, Date, Pertinent Pages, Etc.)</b>								
	A5	Patent abstracts of Japan, Publication No. 2000-013700, Publication Date January 14, 2000, 1 page						
	A6	Patent abstracts of Japan, Publication No. 10-233972, Publication Date September 2, 1998, 1 page						
EXAMINER					DATE CONSIDERED			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								